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PATENT APPLICATION

IN THE U.S. PATENT AND TRADEMARK OFFICE

Date: January 4, 2002

Applicant(s): Junzo SUNAMOTO et al

For : COSMETIC PRODUCT CONTAINING POLYSACCHARIDE-STEROL DERIVATIVE

PCT International Application No.: PCT/JP00/02044

PCT International Filing Date: March 30, 2000

U.S. Application No.

(if known, see 37 CFR 1.5): 09/936 953

Atty. Docket No.: Yanagihara Case 62

Box PCT

Assistant Commissioner for Patents
Washington, DC 20231

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REQUEST FOR CORRECTED FILING RECEIPT

Dear Sirs:

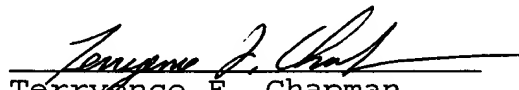
The pending Filing Receipt contains error(s).

Please supply a corrected Filing Receipt conforming to the attached, red-corrected photocopy.

In support, see the highlighted portions of the attached photocopy(ies), made from Applicant's file, of:

1. Copy of Declaration (three pages);
2. Copy of Nationalized PCT Application (two pages).

Respectfully submitted,


Terryence F. Chapman

TFC/sp

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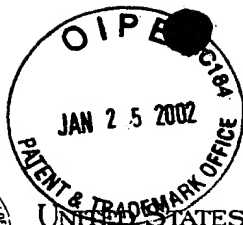
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOTAL CLAIMS	IND CLAIMS
09/936,953	09/17/2001	1614	860	Yanagihara Case 62		9	1

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Kalamazoo, MI 49008-1699DHT _____ DGB _____ RJT _____
TFC _____ MLM _____ DSG _____
LLC _____ BRT _____ TRC _____

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SBW _____ TLH _____ KC _____
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CONFIRMATION NO. 4435

FILING RECEIPT



OC000000007060677

Date Mailed: 11/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Junzo Sunamoto, Kusatsu-Shi, JAPAN;
Kunio Shimada, Toride-Shi, JAPAN;
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Domestic Priority data as claimed by applicant

THIS APPLICATION IS A 371 OF PCT/JP00/02044 [03/31/1999] 03/30/2000

Foreign Applications

JAPAN 92401/1999 03/31/1999

Projected Publication Date: Not Applicable, filed prior to November 29, 2000

Non-Publication Request: No

Early Publication Request: No

Title

Cosmetics containing polysaccharide-sterol derivatives

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☐ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)).
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☐ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).

Items 11. to 16. below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☒ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:
 - Title Page of WIPO Document WO 00/57841
 - Form PCT/IB/301 – Notification of Receipt of Record Copy
 - Form PCT/IB/304 – Notification Concerning Submission or Transmittal of Priority Document
 - Form PCT/IB/308 – Notice Informing Applicant of Communication of International Application to Designated Offices
 - Form PCT/IPEA/409 – English Language International Preliminary Examination Report
 - English Language International Search Report including References cited therein — 100% in center
 - Postal Card

17. ☒ The following fees are submitted:

CALCULATIONS PTO USE ONLY

BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5)):

Neither international preliminary examination fee (37 CFR 1.482)
nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO
and International Search Report not prepared by the EPO or JPO \$1000.00
International preliminary examination fee (37 CFR 1.482) not
paid to USPTO but International Search Report prepared by
the EPO or JPO \$ 860.00
International preliminary examination fee (37 CFR 1.482) not
paid to USPTO but international search fee (37 CFR 1.445(a)(2))
paid to USPTO \$ 710.00
International preliminary examination fee paid to USPTO (37
CFR 1.482) but all claims did not satisfy provisions of PCT
Article 33(1)-(4) \$ 670.00
International preliminary examination fee paid to USPTO (37 CFR
1.482) and all claims satisfied provisions of PCT Article 33(1)-(4)... \$ 100.00

ENTER APPROPRIATE BASIC FEE AMOUNT = \$860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(e)). \$

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	9 - 20 =	0	X \$ 18.00	\$
Ind. claims	1 - 3 =	0	X \$ 80.00	\$
MULTIPLE DEPENDENT CLAIMS (if applicable)			+ \$270.00	\$
TOTAL OF ABOVE CALCULATIONS			=	\$860.00

Reduction of 1/2 for filing by small entity, if applicable. Small Entity Statement
must also be filed (Note 37 CFR 1.9, 1.27, 1.28). - \$
SUBTOTAL = \$860.00

Processing fee of \$130.00 for furnishing the English translation later than ☐ 20 ☐ 30
months from the earliest claimed priority date (37 CFR 1.492(f)). + \$
TOTAL NATIONAL FEE = \$860.00

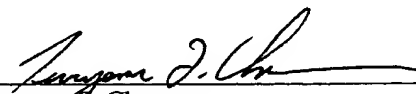
Fee for recording assignment (37 CFR 1.21(h)). The assignment must be accompanied
by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + \$ 40.00
TOTAL FEES ENCLOSED = \$900.00
Amount to be refunded \$
charged \$

- a. ☒ A check in the amount of \$900.00 to cover the above fees is enclosed.
b. ☐ Please charge my Deposit Account No. _____ in the amount of \$ _____ to cover the above fees. A duplicate
copy of this sheet is enclosed.
c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to
Deposit Account No. 06-1382. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a)
or (b)) must be filed and granted to restore the application to pending status.

IN DUPLICATE

SEND ALL CORRESPONDENCE TO:
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